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October 10, 1999

Commissioner of Patents
Washington, D.C. 20231

Re: New Continuing Prosecution Patent Application (CPA)

Prior application:

| | |
|-------------------------|---------------------------------|
| APPLICANT: | William G. Wilhelm |
| SERIAL NO.: | 08/820,496 |
| FILED: | March 19, 1997 |
| EXAMINER: | J. Kaplan |
| GROUP ART UNIT: | 2836 |
| MAILING DATE OF ACTION: | April 12, 1999 |
| TITLE: | HIGH EFFICIENCY LIGHTING SYSTEM |

Dear Sir:

Enclosed please find Continuing Prosecution (CPA) Utility patent application, including CPA transmittal form, Preliminary Amendment, Request for One Month Extension, check in the sum of \$ 2042 representing the filing fee of \$1607 with extra Claims and the extension fee of \$435 for a three month extension and Certificate of Mailing by Express Mail.

Please acknowledge receipt by returning the enclosed postcard.

Very truly yours,

Alfred M. Walker

Alfred M. Walker

AMW:jp
encl.
PAT17-cpa

RECEIVED
OCT 15 1999
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail # *EL26868186302* addressed to Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on the date indicated below.

Date: Saturday, October 10, 1999

Jackie Percan
Jackie Percan

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1, 1997.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 2042

Complete If Known

| | |
|----------------------|---------------------|
| Application Number | 08/820,496 |
| Filing Date | |
| First Named Inventor | William G. Wilhelm |
| Examiner Name | J. Kaplan |
| Group / Art Unit | 2836 |
| Attorney Docket No. | nextek-lighting-cpa |

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

23-0120

Alfred M. Walker

☒ Charge Any Additional
Fee Required Under
37 C.F.R. §§ 1.16 and 1.17☐ Charge the Issue Fee Set in
37 C.F.R. § 1.18 at the Mailing
of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|------------------|------------------|------------------|------------------|------------------------|----------|
| 101 | 790 | 201 | 395 | Utility filing fee | 380 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 540 | 207 | 270 | Plant filing fee | |
| 108 | 790 | 208 | 395 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) 380

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 100 | 20** = 80 | 9 | 720 |
| 16 | 3** = 13 | 39 | 507 |
| Multiple Dependent | 0 | 0 | 0 |

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description |
|------------------|------------------|------------------|------------------|--|
| 103 | 22 | 203 | 11 | Claims in excess of 20 |
| 102 | 82 | 202 | 41 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 82 | 209 | 41 | ** Reissue independent claims over original patent |
| 110 | 22 | 210 | 11 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 1227

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|------------------|------------------|------------------|------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 116 | 110 | 216 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 950 | 217 | 475 | Extension for reply within third month | 435 |
| 118 | 1,510 | 218 | 755 | Extension for reply within fourth month | |
| 128 | 2,060 | 228 | 1,030 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,320 | 241 | 660 | Petition to revive - unintentional | |
| 142 | 1,320 | 242 | 660 | Utility issue fee (or reissue) | |
| 143 | 450 | 243 | 225 | Design issue fee | |
| 144 | 670 | 244 | 335 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 790 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 790 | 249 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 435

SUBMITTED BYTyped or
Printed Name

Alfred Walker

Signature

Alfred M. Walker

Date

10/10/99

Complete (if applicable)

Reg. Number

29,983

Deposit Account
User ID

23-0120

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.